

#131 Reg for refund

HELLO-00308 07-30-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Bruce W. Stelman

Serial No.: 09/286,249

Filed: April 5, 1999

For: **SMART INTERFACE  
TECHNOLOGY**

Group Art Unit: 2747

Examiner: Saint Surin, J

**REQUEST FOR REFUND (IMPROPER  
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Attn: Refund Section, Accounting Division  
Office of Finance  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for a refund, with respect to the charges to Deposit Account 08-1275, shown on the attached statement dated April 2003 for the above-identified patent application.

A Supplemental Disclosure Statement was filed for this application March 3, 2003 which included a Certification for Supplement Information Disclosure Statement Under 37 CFR §§ 1.56 and 1.97 citing publications contained within an Office Action mailed on February 12, 2003 (copy attached). This disclosure was filed within the three month rule under 37 CFR § 1.97(e)(2). Therefore, no additional fees are required.

Applicant respectfully requests a credit to Deposit Account No.: 08-1275 in the amount of \$180.00 (fee code 1806), as this charge to the account was not required.

Respectfully submitted  
HAVERSTOCK & OWENS LLP

Dated: 7-18-03

By: Thomas B. Haverstock

Thomas B. Haverstock  
Registration No.: 32,571  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, P.O. Box 1450  
Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
Date: 7-18-03 By: [Signature]

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COMMISSIONER OF  
PATENTS



## Deposit Account Statement

Requested Statement Month: April 2003  
Deposit Account Number: 081275  
Name: HAVERSTOCK & OWENS LLP  
Attention: DANIELLE DALTON  
Address: 260 SHERIDAN AVENUE SUITE 420  
City: PALO ALTO  
State: CA  
Zip: 94306

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/03	1	PCT/US03/06813		8007	\$20.00	\$3,545.00
04/03	1	09608617		1806	\$180.00	\$3,365.00
04/03	2	09723615	GRQ-00100	1806	\$180.00	\$3,185.00
04/03	3	09286249	HELLO-00308	1806	\$180.00	\$3,005.00
04/08	129	PCT/US01/41944	JAG-00100WO	1606	\$260.00	\$2,745.00
04/15	77	10412202	JAG-00401	2201	\$5.00	\$2,740.00
04/22	3	09878304	SONY-02102	1806	\$180.00	\$2,560.00
04/23	1	09704642	SSI-00601	1806	\$180.00	\$2,380.00
04/23	496	75716204	ANDREWS-00300	7004	\$150.00	\$2,230.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,565.00	\$1,335.00	\$ .00	\$2,230.00	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2747
	)	
Bruce W. Stelman	)	Examiner: Saint Surin, J
	)	
Serial No.: 09/286,249	)	TRANSMITTAL LETTER
	)	
Filed: April 5, 1999	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
For: SMART INTERFACE	)	(408) 530-9700
TECHNOLOGY	)	
<hr/>		

Assistant Commissioner of Patent  
Washington, D.C. 20231

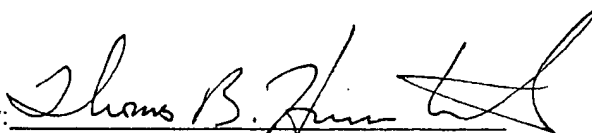
Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449, copies of the references contained thereon, and a Certification for the Supplemental Information Disclosure Statement for filing with the U.S. Patent and Trademark Office.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-3-03


By:   
Thomas B. Haverstock  
Reg. No.: 32,571

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

Attorneys for Applicant

- 1 -

HAVERSTOCK & OWENS LLP  
Date: 3/3/03 By: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit: 2747
	)
Bruce W. Stelman	) Examiner: Saint Surin, J
	)
Serial No.: 09/286,249	) <u>SUPPLEMENTAL INFORMATION</u>
	) <u>DISCLOSURE STATEMENT</u>
	)
Filed: April 5, 1999	)
	) 162 N. Wolfe Road
	) Sunnyvale, CA 94086
For: <b>SMART INTERFACE</b>	) (408) 530-9700
<b>TECHNOLOGY</b>	)
_____	)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- European Publication No. EP 0 459 405 A2.

PATENT

Attorney Docket No.: HELLO-00308

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3-3-03

By: Thomas B. Haverstock

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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- 2 -

HAVERSTOCK & OWENS LLP

Date: 3/3/03 By: J. Haverstock

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2747
Bruce W. Stelman	)	Examiner: Saint Surin, J
Serial No.: 09/286,249	)	
Filed: April 5, 1999	)	<b>CERTIFICATION FOR</b>
For: <b>SMART INTERFACE</b>	)	<b>SUPPLEMENTAL INFORMATION</b>
<b>TECHNOLOGY</b>	)	<b>DISCLOSURE STATEMENT UNDER 37</b>
	)	<b>CFR § 1.97(e)</b>
	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on February 12, 2003. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

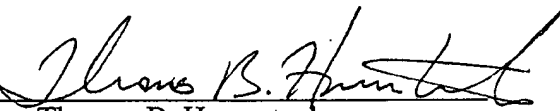
PATENT  
Attorney Docket No.: HELLO-00308

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-3-03

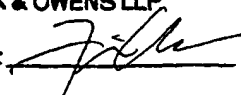
By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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- 2 -

HAVERSTOCK & OWENS LLP  
Date: 3/3/03 By: 

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: HELLO-00308	Serial No.: 09/286,249	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary)				Applicant: Bruce W. Stelman		
(37 CFR § 1.98(b))				Filing Date: April 5, 1999	Group Art Unit: 2747	

U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	EP 0 459 405 A2	12/04/91	EP	H04M	1/00		X
	AL							
	AM							
	AN							
	AO							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	AP	
	AQ	
	AR	
	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner:	Date Considered:
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	